# Guide for Specifying Underground Shotcrete

Reported by ACI Committee 506



**American Concrete Institute**<sup>®</sup>

This is a preview. Click here to purchase the full publication.



American Concrete Institute<sup>®</sup> Advancing concrete knowledge

### **Guide for Specifying Underground Shotcrete**

Copyright by the American Concrete Institute, Farmington Hills, MI. All rights reserved. This material may not be reproduced or copied, in whole or part, in any printed, mechanical, electronic, film, or other distribution and storage media, without the written consent of ACI.

The technical committees responsible for ACI committee reports and standards strive to avoid ambiguities, omissions, and errors in these documents. In spite of these efforts, the users of ACI documents occasionally find information or requirements that may be subject to more than one interpretation or may be incomplete or incorrect. Users who have suggestions for the improvement of ACI documents are requested to contact ACI. Proper use of this document includes periodically checking for errata at **www.concrete.org/committees/errata.asp** for the most up-to-date revisions.

ACI committee documents are intended for the use of individuals who are competent to evaluate the significance and limitations of its content and recommendations and who will accept responsibility for the application of the material it contains. Individuals who use this publication in any way assume all risk and accept total responsibility for the application and use of this information.

All information in this publication is provided "as is" without warranty of any kind, either express or implied, including but not limited to, the implied warranties of merchantability, fitness for a particular purpose or non-infringement.

ACI and its members disclaim liability for damages of any kind, including any special, indirect, incidental, or consequential damages, including without limitation, lost revenues or lost profits, which may result from the use of this publication.

It is the responsibility of the user of this document to establish health and safety practices appropriate to the specific circumstances involved with its use. ACI does not make any representations with regard to health and safety issues and the use of this document. The user must determine the applicability of all regulatory limitations before applying the document and must comply with all applicable laws and regulations, including but not limited to, United States Occupational Safety and Health Administration (OSHA) health and safety standards.

**Order information:** ACI documents are available in print, by download, on CD-ROM, through electronic subscription, or reprint and may be obtained by contacting ACI.

Most ACI standards and committee reports are gathered together in the annually revised ACI Manual of Concrete Practice (MCP).

American Concrete Institute 38800 Country Club Drive Farmington Hills, MI 48331 U.S.A. Phone: 248-848-3700 Fax: 248-848-3701

### www.concrete.org

This is a preview. Click here to purchase the full publication.

## ACI 506.5R-09

# **Guide for Specifying Underground Shotcrete**

## Reported by ACI Committee 506

Peter C. Tatnall <sup>*</sup> Chair			
	Lawrence J. Totten Vice Chair	Dudley R. Morgan <sup>*</sup> Secretary	
Jon B. Ardahl	Martin Gendreau	Jeffrey L. Novak	Raymond C. Schallom, III*
Lars F. Balck, Jr.	Jill E. Glassgold	H. Celik Ozyildirim	Raymond J. Schutz
Michael Ballou <sup>*</sup>	Warren L. Harrison <sup>*</sup>	Harvey W. Parker <sup>†</sup>	Philip J. Seabrook
Nemkumar Banthia	Merlyn Isaak	Ryan E. Poole	W. L. Snow, Sr.
Chris D. Breeds <sup><math>\dagger</math></sup>	Marc Jolin <sup>*</sup>	John H. Pye <sup>*</sup>	Curtis White
Patrick O. Bridger	Kristian Loevlie*	James A. Ragland	Peter T. Yen
Wern-Pink Nick Chen*	Mark R. Lukkarilia	Venkataswamy Ramakrishnan	George Yoggy*
Jean-François Dufour <sup>*</sup> John R. Fichter	Gregory S. McKinnon	Michael Rispin <sup>*</sup>	Christopher M. Zynda

<sup>\*</sup>Subcommittee members who produced this report. <sup>†</sup>Subcommittee Co-chairs who produced this report.

The Committee acknowledges Bill Edgerton, Janice Lindell, J. Denis O'Donnel, Sr., and Fred Sherrill for their contributions to this report.

This document provides a guide for owners, contractors, designers, and testing, specifying, and inspection organizations engaged in the application of shotcrete for underground support. The guide provides general information for the selection of constituent materials, and methods to proportion shotcrete. Typical methods of batching, mixing, and handling of proportioned shotcrete materials are detailed along with shotcrete placement methods and equipment.

**Keywords:** acceptance criteria; batching; inspection; methods of payment; mine and tunnel support systems; mixing and placement equipment; mixture proportioning; preconstruction and construction testing; quality assurance; quality control; safety; shotcrete; shotcrete application.

ACI Committee Reports, Guides, Manuals, and Commentaries are intended for guidance in planning, designing, executing, and inspecting construction. This document is intended for the use of individuals who are competent to evaluate the significance and limitations of its content and recommendations and who will accept responsibility for the application of the material it contains. The American Concrete Institute disclaims any and all responsibility for the stated principles. The Institute shall not be liable for any loss or damage arising therefrom.

Reference to this document shall not be made in contract documents. If items found in this document are desired by the Architect/Engineer to be a part of the contract documents, they shall be restated in mandatory language for incorporation by the Architect/Engineer.

#### CONTENTS

Chapter 1—Introduction and scope, p. 506.5R-3 1.1—Introduction

1.2—Scope

#### Chapter 2—Notation and definitions, p. 506.5R-5

- 2.1—Notation
- 2.2—Definitions

#### Chapter 3—Submittals, p. 506.5R-6

- 3.1—Contracts
- 3.2—Recommended specifications

#### Chapter 4—Materials, p. 506.5R-8

- 4.1—Accelerators
- 4.2—Recommended specifications

ACI 506.5R-09 was adopted and published August 2009. Copyright @ 2009, American Concrete Institute.

All rights reserved including rights of reproduction and use in any form or by any means, including the making of copies by any photo process, or by electronic or mechanical device, printed, written, or oral, or recording for sound or visual reproduction or for use in any knowledge or retrieval system or device, unless permission in writing is obtained from the copyright proprietors.

#### ACI COMMITTEE REPORT

### Chapter 5—Anchorage and reinforcement,

- p. 506.5R-11
  - 5.1—Composite support systems
  - 5.2—Shotcrete with pattern rock bolts or anchors
  - 5.3—Shotcrete with rock bolts, friction stabilizers, and welded wire reinforcement
  - 5.4—Fiber-reinforced shotcrete
  - 5.5—Shotcrete with lattice girders
  - 5.6—Shotcrete with conventional steel sets
  - 5.7—Recommended specifications

## Chapter 6—Materials handling and storage, p. 506.5R-15

- 6.1—General
- 6.2—Temperature considerations
- 6.3—Recommended specifications

## Chapter 7—Shotcrete mixture proportioning, p. 506.5R-16

- 7.1—General
- 7.2—Mixture proportions by trial batching or historical data submissions
- 7.3—In-place mixture proportions
- 7.4—Dry-mix shotcrete
- 7.5—Wet-mix shotcrete
- 7.6—Recommended specifications

### Chapter 8—Performance requirements,

### p. 506.5R-20

- 8.1—General
- 8.2—Water-cementitious material ratio
- 8.3—Air content
- 8.4—Slump
- 8.5—Boiled absorption and permeable voids
- 8.6—Compressive strength
- 8.7—Flexural strength and toughness
- 8.8—Early-age strength
- 8.9—Other tests
- 8.10—Bond strength
- 8.11—Explosive spalling protection
- 8.12-Recommended specifications

## Chapter 9—Quality assurance and quality control, p. 506.5R-23

- 9.1—General
- 9.2—Recommended specifications

### Chapter 10—Preconstruction trials and testing,

- **p. 506.5R-24** 10.1—General
  - 10.2—Recommended specifications

# Chapter 11—Construction acceptance inspection, p. 506.5R-27

- 11.1—General
- 11.2—Acceptance inspection
- 11.3—Specific inspection and test quality control requirements for underground shotcrete
- 11.4—Cold placement conditions 11.5—Hot placement conditions

- 11.6—Shotcrete acceptance and rejection
- 11.7—Recommended specifications

# Chapter 12—Batching, mixing, and supply, p. 506.5R-31

- 12.1-Handling of bulk bin-bags
- 12.2—Quality control considerations
- 12.3—Recommended specifications

#### Chapter 13—Placing equipment, p. 506.5R-34

- 13.1—Pumps
- 13.2—Guns
- 13.3—Nozzle systems
- 13.4—Remote-controlled spraying
- 13.5—Recommended specifications

#### Chapter 14—Auxilliary equipment, p. 506.5R-37

- 14.1—Air supply
- 14.2-Recommended specifications

#### Chapter 15—Safety, p. 506.5R-39

- 15.1—General
- 15.2—Underground safety
- 15.3-Required personal safety equipment
- 15.4—View of shotcrete work
- 15.5—Communications
- 15.6—Nonpersonal safety equipment
- 15.7—Recommended specifications

# Chapter 16—Preparation for shotcreting and groundwater control, p. 506.5R-41

- 16.1—General
- 16.2-Factors affecting shotcrete adhesion and bonding
- 16.3—Surface preparation
- 16.4—Groundwater
- 16.5—Recommended specifications

## Chapter 17—Ground reinforcement installation,

- **p. 506.5R-43** 17.1—General
  - 17.2—Rock bolt installation
  - 17.2—Rock bolt installation 17.3—Steel set installation
  - 17.4—Lattice girder installation
  - 17.5—Recommended specifications
  - The interact specifications

#### Chapter 18—Shotcrete application, p. 506.5R-44

- 18.1—Methods used to control thickness
- 18.2-Cover, alignment, and tolerance
- 18.3—Recommended specifications

#### Chapter 19—Curing and protection, p. 506.5R-46

- 19.1—General
- 19.2—Protection
- 19.3—Recommended specifications

# Chapter 20—Shotcrete for repair and rehabilitation of underground structures, p. 506.5R-47

- 20.1—General
- 20.2—Materials selection
- 20.3—Shotcrete placement methods for repair

### This is a preview. Click here to purchase the full publication.

- 20.4—Quality assurance requirements for rehabilitation of underground structures
- 20.5—Repair considerations
- 20.6—Recommended specifications

# Chapter 21—Measurement and payment, p. 506.5R-50

21.1—Basis for payment

21.2—Recommended specifications

#### Chapter 22—References, p. 506.5R-51

22.1—Referenced standards and reports

22.2—Cited references

# CHAPTER 1—INTRODUCTION AND SCOPE 1.1—Introduction

Shotcrete, the method of spraying concrete onto a surface, is ideally suited for the support and construction of underground excavations in earth and rock structures. The pneumatic projection of shotcrete onto a surface at high velocity provides specific quality enhancements that interact with the ground surface and prepared substrates, providing superior bond characteristics; increased density; and resultant strength, durability, and toughness. These qualities are desirable in ground support and lining applications. In North America, shotcrete is conventionally defined as pneumatically applied concrete, while in Europe it is more commonly referred to as sprayed concrete.

Although concrete plays a very important role in underground construction, shotcrete has many advantages over concrete. Final concrete linings for tunnel and underground structures are typically composed of cast-in-place or precast concrete sections that provide a structurally sound and durable subsurface infrastructure. The principal disadvantage with these systems is that they are difficult to install during the excavation phase of tunnel construction. They require formwork or assembly systems that simply cannot be employed in tunnel openings where the immediate concern is ensuring a safe and stable excavation. Accordingly, the cast-in-place lining is often placed after excavation is complete, which results in a much longer time to complete the tunnel.

In contrast, shotcrete in combination with other support elements can provide early and effective ground support and, therefore, a means of ground control necessary for safe, viable, and economic tunnel construction under a wide range of geological conditions.

Shotcrete technology has been broadly developed throughout the construction industry over the last century. The evolution of mining and civil tunneling methods has placed unique demands on the materials, equipment, and personnel that comprise current concepts of a shotcrete system for underground support. With this gradual evolution in technology and trial and error, came acceptance, adaptation, and new means and methods of successful shotcrete application. The design and placement of shotcrete underground is much more challenging than shotcreting above ground.

Underground construction and shotcrete application are unique and very demanding. The primary focus is worker safety and the need to provide immediate and effective ground support. Whereas the industry as a whole would accept the performance associated with the compressive strength developed in shotcrete at 28 days, early 8-hour to 1-day strength is critical to the performance of underground shotcrete. Much of the shotcrete is applied overhead to irregular tunnel profiles immediately following blasting or other modes of excavation. Geological and groundwater conditions are not always predictable; opening stability and rockfalls present a clear hazard to the shotcrete crew. Conditions may be such that the window available for shotcrete application is minutes or a few hours. The use of accelerating admixtures is a unique feature of underground shotcrete application in that it provides a means of controlled and rapid strength gain immediately following application.

Tunnel or mining activities typically take place on a continuous and cyclical basis. The process of excavation, muck removal or mineral extraction, and ground support installation are repeated. To be viable and acceptable, shotcrete application should be an integral part of the overall cycle. This requires that the shotcrete system as a whole be reliable, efficient, and effective.

The underground environment can impose significant constraints and demands on the batching, mixing, handling, and placement of shotcrete. The unique logistical demands associated with underground shotcrete application may require access to the underground work area via shaft, adit, or ramp, and the subsequent use of long and restrictive haulage routes or dropping through a borehole or slickline. This frequently results in extended handling and discharge times. At any time, production can be disrupted, and shotcrete installation delayed or disrupted. This is particularly problematic if ground conditions deteriorate and the demand for shotcrete as ground support becomes more critical. Finally, the environment is hostile for worker safety, efficiency, and quality control, as well as for quality shotcrete placement and curing conditions. Figures 1.1 to 1.3 illustrate typical conditions at the heading of tunnels and mines.

These types of problems have led to specifically designed systems for batching and handling of shotcrete materials, admixtures, and placement equipment. These systems require significant investment not only in terms of capital, but also in providing experienced supervisory shotcrete and production personnel. Training and supervision have led to improvements in the quality and consistency of shotcrete in underground shotcrete applications. The consequences of deficient shotcrete in any ground support application are obvious. Quality assurance, quality control, and the associated inspection and testing activities are equally important in achieving a successful shotcrete program.

A major task faced by the underground shotcrete industry is the ability to demonstrate to owner, designer, specifier, and inspection and testing personnel that high-quality shotcrete can be produced consistently. It is therefore important that owners and others have an understanding and appreciation of how a shotcrete system—materials, batching, handling, placing equipment, and trained quality supervisory and production personnel—fits into their underground project so as to ensure that shotcrete specifications are met. The efforts



Fig. 1.1—Typical heading: large tunnel with drill rig.



Fig. 1.2—Typical heading: small tunnel with drill jumbo.

that go into designing, planning, and implementing a shotcrete program need to be commensurate with the size and complexity of the project. Many small projects require a basic, common-sense approach to quality. There are also small projects that involve a limited volume of shotcrete (for example, repair or rehabilitation) that are both complex and difficult, and where the quality requirements are clearly different. There are also many large underground projects throughout the world where a significant effort is required to staff and conduct extensive quality assurance programs, of which shotcrete is an important part. It is important that owners not be burdened with the cost of unnecessary inspection and testing requirements. For that reason, this guide carefully distinguishes the requirements associated with large, medium, small, and complex shotcrete projects



Fig. 1.3—Typical heading: mine.

#### 1.2—Scope

This guide is intended for owner, designer, specifier, and inspection and testing personnel interested in or in need of information and background on the application of underground shotcrete. The guide briefly discusses the concept of composite ground support—the combination of shotcrete and other support elements used to provide early and effective tunnel support. The guide is not a comprehensive treatise on the design of these systems, but is intended to provide sufficient information to understand how the combination and sequencing of ground support elements can influence the performance, application, inspection, and testing of shotcrete.

The general format of the document is such that each section provides a brief introductory overview followed by a table that contains points considered important to specifications (Example Specifications) and brief notes to the specifier where necessary. The reader should however recognize that each underground