based on comparison with tested systems. Variance from tested systems must be carefully considered to ensure that modifications do not invalidate forced entry test-based rating and/or acceptance. Most often, any structural changes to the system, including geometric and material variation, as well as connection detailing require retesting or certification of the modified system.

Design for ballistic protection is typically performed by specifying the use of components that have been tested according to the available test standards discussed in Chapter 10. However, for steel or other metal components, design thickness can be estimated using design methods found in UFC 4-023-07 (DoD 2008) and DOE/TIC-11268. The UFC document also provides geometric design guidance to limit sight lines to protected spaces and means of defeating high caliber ballistic threats. Testing is still necessary in most cases to certify a particular component or element can provide the necessary ballistic resistance.

4.10.1.1 Forced Entry Resistance. In general, forced entry protection is achieved by utilizing layers of materials that are difficult to cut, break, or remove with hand or power tools and are appropriately secured to the building structure. Attachments should be located on the interior to reduce the ability of adversaries to defeat them. The ability of a building surface to allow for adequate delay time against a forced entry depends on many factors including attack tools, number of hits and receiving element material strength, surface thickness, solidity ratio, and reinforcement configuration. RC, metal security mesh, and polycarbonate systems can provide a notable amount of forced-entry resistance. Reducing the spacing of reinforcement and mesh sizes tends to increase resistance to forced entry.

Design for forced entry typically focuses on providing materials that are difficult to penetrate with hand and/or power tools of concern and locating vulnerable hardware such as anchors, fasteners, hinges, and latches on the protected side of the component to increase the time it would take to make a passable opening in the component. Standardized delay time ranging from 5-, 15-, and 60-min corresponds to targeted LOPs. The level of forced entry resistance required depends on the established design basis threats and LOP desired for the facility.

UFC 4-020-02FA (DoD 2005) provides information relevant to the tactics and protection strategies for forced entry. For medium to very high levels of protection, the UFC requires the use of wall construction that provides the delay time (i.e., minimum to maximum response time) corresponding to the threat severity level (i.e., hand/power tools, thermal, and explosives). Refer to Chapter 2 for further discussion of design basis forced-entry threats and tactics.

4.10.1.2 Ballistic Resistance. The ability of a building surface to provide adequate resistance to ballistic attack depends on many factors including the ballistic attack characteristics, the surface's material strength, thickness, solidity ratio, and reinforcement configuration. Ballistic and fragment penetration resistance is usually achieved through the use of one or more layers of material that can adequately resist projectiles of the desired caliber. Materials can include specialty glass, composite fibers, magnesium, ceramics, polymers (e.g., polycarbonate and lexan), steel, aluminum, and titanium. Typically, the determination of ballistic rating for a specific construction is determined through testing; however, there is not enough testing information available for public use to guide that determination. Few published resources provide guidance to Physical Security professionals regarding the specification of ballistic resistance.

UFC 4-023-07 (DoD 2008) provides comprehensive coverage of protective design measures for resistance to direct fire weapons and correlates threat level to weapon's caliber and provides recommendations for using various construction materials to achieve different levels of protection. The UFC provides guidance based on existing data and calculations for construction required to resist typical ballistics threats. The current version of this document is available for public release through the Whole Building Design Guide website. Various chapters of UFC 4-020-02FA (DoD 2005) provide similar recommendations for minimum thickness of various protective materials for different threat severity levels (i.e., low to very high). UL 752 identifies 9 distinct ratings (i.e., protection, levels 1 to 8 + 12-gauge) that correspond to ammunition calibers ranging from 9 mm full metal jacket bullet to 12-gauge lead slug.

As previously discussed in Chapter 2, Sandia National Laboratories published a summary of empirical penetration equations that can be used to predict penetration depth for soil, rock, and concrete. The US Army has also developed the Thor equations that can describe ballistic penetration for various metals. The necessary penetration resistance depends on the design basis ballistic threats of concern and the desired LOP for the facility.

4.10.2 Levels of Protection and Performance Criteria

Levels of protection for ballistics and forced-entry range from low levels of protection to high levels of protection. At the lower levels of protection, the primary focus tends to be on blocking lines of sight of critical areas and targets. At medium to higher levels of protection, the criteria attempt to provide layers of penetration and hardening to mitigate or stop the design basis threats. Levels of Protection for Ballistic Resistance (BR) are predominantly based on the guidance provided in UFC 4-023-07 (DoD 2008). As an example, for a medium LOP, the objective is to prevent the projectile from perforating through the wall thickness and for it to be arrested within the wall without causing spalling on its inside (i.e., protected side) surface.

4.10.3 Analytical and Testing-Based Design Approaches

There are a limited set of design equations available for designing to mitigate ballistic and forced-entry tactics. As noted previously, there are a number of design equations available to mitigate ballistic threats available in UFC 4-023-07. For forced-entry resistance, acceptable protection is determined predominantly through testing. However, preliminary design strategies could be utilized to provide components and systems with strengths equivalent to components and systems that have already been tested.

Typically, the determination of forced-entry and/or ballistic resistance rating are determined through testing. Only limited testing/rating information are published and available for public use, therefore, physical security professionals may have to resort to other sources of guidance, which are mostly government provided such as the *DoS Compendium of Design Standards* and the UFC 4-020-02FA (DoD 2005) security engineering series. For further details about applicable certification and testing procedures for forced entry and ballistic resistance, refer to Chapter 10.

4.11 DESIGN, SPECIFICATIONS, AND CONSTRUCTABILITY CONSIDERATIONS

Protective design is most effectively applied as enhancements to a structural design that satisfies conventional gravity, wind, and seismic loading. By starting with a code-compliant design, the opportunities for an integrated design and the cost of protection are most easily identified. In this manner, blast-specific detailing requirements and constructability considerations can be addressed in collaboration with the structural engineer for the project.

4.11.1 Design Issues

4.11.1.1 Proof of Concept. It is essential for a successful design that the blast consultant, working for the design team, perform proof-of-concept calculations on delegated design elements (i.e., façade systems, prefabricated trusses, etc.) to properly represent the intended design concept in the drawings and prove that an acceptable design solution is achievable. This proof-of-concept effort is very useful when reviewing contractor submissions and requests for changes.

4.11.1.2 Structural Engineer Duties. The blast design engineer is responsible for providing all blast design-related information in the form of mark-ups to the code compliant design drawings and details, which are typically provided during the design development phase and back-checked during the construction documents phase. The Structural Engineer of Record (SEOR) is expected to continuously coordinate with the blast design engineer to ensure that he/she fully understand the project-specific blast protection requirements and their impacts on the structural design. Ultimately, the SEOR is responsible for incorporating all aspects of the blast-resistant design (i.e., notes, markups, details, and specifications) into the structural design package.

4.11.1.3 Coordination with Other Design Disciplines. For engineering projects involving blast-resistant design, it is the design manager responsibility to ensure that all disciplines of his design team are effectively coordinating with the blast design engineer to clearly understand the potential impacts on their design efforts. This coordination effort is very important to avoid potential design and construction problems that may arise at later times primarily because of the lack of understanding and/or coordination.

4.11.2 Performance Specifications

In general, blast hardening (i.e., enhancement or strengthening) is most effectively prescribed by the blast consultant for incorporation into design drawings; however, there may be elements of the design, such as pre-manufactured trusses, that are delegated to contractors and their subs. In addition, steel beam reaction forces may be tabulated or defined in a note that contains an equation for different boundary conditions. The detailing of steel connections is most frequently performed by the steel fabricator and the notes should include all instructions required to prevent brittle modes of failure.

Performance specifications for the delegated design of stud wall systems and light gage construction must provide all the information needed to perform the dynamic analyses. Other important blast-resistant building components that are typically described using performance specifications are glazing, curtain walls, windows, doors, and louvers. In this case, the design criteria and performance requirements of these specialty components are conveyed to the manufacturers who are responsible for designing, fabricating, and sometime testing blast-resistant products that meet the design intent. Therefore, it is of the utmost importance for the design team to work with the blast engineer to develop performance specifications that properly document the project-specific requirements for each component in a clear and complete manner.

4.11.3 Constructability Considerations

The SEOR is responsible for integrating blast hardening of structural components into their design documents and verifying constructability. Aside from dimensional conflicts that are resolved with the project team, constructability concerns often relate to the spacing of reinforcing bars within concrete sections, the steel connection details required to develop the member reaction forces and the welding of thick plate sections. The proposed protective design for design-build projects or projects with construction management and design assist services receive guidance from a contractor or fabricator's perspective. In general, this should reduce the number of RFI or change orders during construction. Other projects rely on the experience and expertise of the SEOR, with more extensive construction administration services likely to occur.

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