DIN EN 16704-1



ICS 93.100

Supersedes DIN EN 16704-1:2017-11

Railway applications -

Track -

Safety protection on the track during work -

Part 1: Railway risks and common principles for protection of fixed and mobile work sites;

English version EN 16704-1:2016+A1:2021, English translation of DIN EN 16704-1:2022-01

Bahnanwendungen -

Oberbau -

Sicherungsmaßnahmen während Gleisbauarbeiten -

Teil 1: Eisenbahngefährdungen und allgemeine Prinzipien zum Schutz ortsfester und ortsveränderlicher Baustellen;

Englische Fassung EN 16704-1:2016+A1:2021,

Englische Übersetzung von DIN EN 16704-1:2022-01

Applications ferroviaires –

Voie -

Protection et sécurité durant des travaux sur la voie -

Partie 1: Risques ferroviaires et principes communs de protection des chantiers fixes et mobiles:

Version anglaise EN 16704-1:2016+A1:2021,

Traduction anglaise de DIN EN 16704-1:2022-01

Document comprises 98 pages

Translation by DIN-Sprachendienst.

In case of doubt, the German-language original shall be considered authoritative.



A comma is used as the decimal marker.

National foreword

This document (EN 16704-1:2016+A1:2021) has been prepared by Technical Committee CEN/TC 256 "Railway applications" (Secretariat: DIN, Germany).

The responsible German body involved in its preparation was *DIN-Normenausschuss Fahrweg und Schienenfahrzeuge* (DIN Standards Committee Railway), Subcommittee NA 087-00-01-09 UA "Safety protection on the track during work".

This document contains National footnotes in 3.24, 3.25, and H.3.2.2 that provide references to editorial errors in the English reference version.

For current information on this document, please go to DIN's website (www.din.de) and search for the document number in question.

Amendments

This standard differs from DIN EN 16704-1:2017-11 as follows:

- a) Clause 2 "Normative references" has been updated;
- b) updated normative references have been replaced in the text;
- c) Annex ZA has been added.

Previous editions

DIN EN 16704-1: 2017-07, 2017-11

EUROPEAN STANDARD NORME EUROPÉENNE EUROPÄISCHE NORM

EN 16704-1:2016+A1

October 2021

ICS 93.100

Supersedes EN 16704-1:2016

English Version

Railway applications - Track -

Safety protection on the track during work Part 1: Railway risks and common principles for protection
of fixed and mobile work sites

Applications ferroviaires - Voie -

Protection et sécurité durant des travaux sur la voie -Partie 1: Risques ferroviaires et principes communs de protection des chantiers fixes et mobiles Bahnanwendungen -Oberbau -

Sicherungsmaßnahmen während Gleisbauarbeiten -Teil 1: Eisenbahngefährdungen und allgemeine Prinzipien zum Schutz ortsfester und ortsveränderlicher Baustellen

This European Standard was approved by CEN on 10 September 2016 and includes Amendment 1 approved by CEN on 9 August 2021.

CEN members are bound to comply with the CEN/CENELEC Internal Regulations which stipulate the conditions for giving this European Standard the status of a national standard without any alteration. Up-to-date lists and bibliographical references concerning such national standards may be obtained on application to the CEN-CENELEC Management Centre or to any CEN member.

This European Standard exists in three official versions (English, French, German). A version in any other language made by translation under the responsibility of a CEN member into its own language and notified to the CEN-CENELEC Management Centre has the same status as the official versions.

CEN members are the national standards bodies of Austria, Belgium, Bulgaria, Croatia, Cyprus, Czech Republic, Denmark, Estonia, Finland, France, Germany, Greece, Hungary, Iceland, Ireland, Italy, Latvia, Lithuania, Luxembourg, Malta, Netherlands, Norway, Poland, Portugal, Republic of North Macedonia, Romania, Serbia, Slovakia, Slovenia, Spain, Sweden, Switzerland, Turkey and United Kingdom.



EUROPEAN COMMITTEE FOR STANDARDIZATION COMITÉ EUROPÉEN DE NORMALISATION EUROPÄISCHES KOMITEE FÜR NORMUNG

CEN-CENELEC Management Centre: Rue de la Science 23, B-1040 Brussels

© 2021 CEN All rights of exploitation in any form and by any means reserved worldwide for CEN national Members.

Ref. No. EN 16704-1:2016+A1:2021 E

This is a preview. Click here to purchase the full publication.

Contents Page

oean foreword	6
Scope	8
Normative references	8
Terms and definitions	9
Work done by 3rd party	19
1	
v v	
•	
Remote controlled equipment of the track	22
Hierarchy of Safety Measures and parameters for the five railway risks	22
General	22
Risk 1 - Personnel being struck by a train or injured due to wind drag on open	
working track (safety of the worker)	23
Blocking	24
Warning	25
Risk 2 - Personnel being struck by a train or injured due to wind drag from a train or	l
adjacent track (safety of the worker)	26
General	26
Blocking	28
Separation	29
Warning	30
Additional measures	31
Exceptional circumstances	32
the worker in the work site)	32
Measures	32
Process on the work site	32
Risk 4 - Machines, material or equipment being struck by a train on the adjacent trace	k
(safety of the operation/safety of the worker)	33
Blocking (of the adjacent track)	34
O Company of the comp	
Additional measures	35
	Scope Normative references Terms and definitions Common principles General Work done by 3rd party. Principles of risk assessment General Decision about safety measures Lines with more than one track Supervision Documentation Consideration of human behaviour Actualization of risk assessment Remote controlled equipment of the track Hierarchy of Safety Measures and parameters for the five railway risks General Risk 1 - Personnel being struck by a train or injured due to wind drag on open working track (safety of the worker) General Blocking Warning Separation Warning with influence on train circulation) Marning with influence on train circulation) Marning with influence on train circulation)

6.6	Risk 5 - Personnel being affected by electric shock/electrocuted (safety of the worker)	25
6.6.1	General	
6.6.2	Measures for work near fixed electrical equipment	
6.6.3	Disconnection of power	
6.6.4 6.6.5	InductionWorking procedures	
6.6.6	Traction returning current in rail	
6.6.7	Risk by broken overhead line	
7	Working in tunnels	39
7.1	General	39
7.2	Safety measures for staff working in tunnels	
8	Designing infrastructure	
8.1 8.2	General Designing new infrastructure	
8.3	Designing modifications of the infrastructure	
9	Safety documentation and information	
9.1	General	
9.2	Safety document for planning (safety plan)	
9.2.1	General requirements	
9.2.2 9.3	Necessary information for the safety document for planning Safety information for briefing	
9.3.1	General	
9.3.2	Content of safety briefing	
9.3.3 9.4	Access to and egress from the work site	
9.4 10	Use of personal protective equipment	
	A (normative) Requirements and conditions to manage safety measures	
A.1	Blocking	
A.1.1	Conditions/requirements for blocking:	47
A.1.2	Procedure for blocking/de-blocking	
A.1.2. 2	1 Procedure before starting the work	47
A.1.2.2	2 Procedure after completion of the work	48
A.2	Separation	48
A.2.1	Risk assessment for installation/de-installation of a barrier	48
A.2.2	Specific requirements/conditions for using barriers as a separation	49
A.2.3	Surveillance of workers (without warning, without barrier)	49
A.2.4	Visual demarcation line	50
A.3	Warning	50
A.3.1	Warning process	50
A.3.2	Hierarchy in warning systems	50
A.3.3	Announcement distance for approaching trains	52
A.3.4	Type of signals	53
435	Acoustic planning	53

A.3.6	Working with/on (noisy) track working machines:	55	
A.3.7	Visual signalling devices		
A.3.8	Controlling the reaction of workers		
A.3.9	Specific requirements for LOWS	57	
A.3.9. 2	1 General	57	
A.3.9.2	2 Chain of lookouts	57	
A.3.9.3	3 Transmission of the warning by radio	57	
A.4	Additional measures	58	
Annex	x B (normative) Parameters in risk assessment	59	
Annex	x C (informative) Matrix of main responsibilities	61	
Annex	x D (informative) Noise levels of machines	66	
Annex	x E (informative) Warning and remembering devices	68	
E.1	Collective warning device vs. individual warning device	68	
E.2	Combination of acoustic warning and visual signalling devices	68	
Annex	x F (informative) National speed limits for trains approaching work sites	69	
Annex	x G (informative) Functions within the process "warning"	72	
Annex	x H (informative) Model for safety plan on railway risks	74	
H.1	General	74	
H.2	Safety document for the railway risks 1 to 4	74	
Н.3	Decision about the safety measures	76	
H.3.1	General	76	
H.3.2	Decision about the safety measure for the working track (risk 1 and 3)	76	
H.3.2.	1 Stopping distances machines	76	
H.3.2.	2Blocking (hierarchy step 1)	76	
H.3.2.	3 Warning	77	
Н.З.З	Decision about the safety measure for the adjacent track (risk 2 and 4)	78	
H.3.3.	1Blocking (hierarchy step 1)	78	
H.3.3.	2 Separation by technical measures	79	
H.3.3.	3 Warning	79	
H.3.3.	4Surveillance of workers without warning	80	
H.3.4	Safety measures for access	80	
H.3.5	Train circulation, track distance, dangerous zone	80	
H.4	Planning of the safety measures	81	
H.5	Handing out of the safety document and instructions on the site	83	
H.5.1	General	83	
H.5.2	Safety supervision	83	
H.5.3	Safety execution	83	

H.5.4	Contractor / sub	o-contractor(s)	.83		
Annex		Guideline for the decision of blocking the working track in relation to ble equipment	.85		
Annex		Process and documentation about disconnection of fixed electrical	.87		
J.1	Process of the de	ecision about disconnection of fixed electrical equipment	.87		
J.2	Minimum contents for the documentation of the decision about disconnection of fixed electrical equipment				
J.2.1	Information by	the contractor	.87		
J.2.2	Nominated pers	on in control of the electrical equipment	.88		
J.2.3	Documentation	of the decision about the disconnection	.89		
J.3		of disconnection/reconnection of power for work near fixed electrical			
J.3.1	General		.89		
J.3.2	Instructions for	the routeing of the document:	.89		
J.3.3	Notice of working	ng cessation	.90		
Annex		Examples for the possibility of intruding into the vicinity zone of the equipment	.92		
Annex	L (informative)	Key aspects of relevant EU Directives	.93		
L.1	Directive 89/39	1/EEC	.93		
L.2	Directive 2004/	49/EEC	.93		
L.3	Directive 92/57	/EEC	.93		
Annex		Relationship between this European Standard and the Essential f Directive (EU) 2016/797/EC aimed to be covered	94		
Bibliog	graphy		.96		

European foreword

This document (EN 16704-1:2016+A1:2021) has been prepared by Technical Committee CEN/TC 256 "Railway applications", the secretariat of which is held by DIN.

This European Standard shall be given the status of a national standard, either by publication of an identical text or by endorsement, at the latest by April 2022, and conflicting national standards shall be withdrawn at the latest by April 2022.

Attention is drawn to the possibility that some of the elements of this document may be the subject of patent rights. CEN [and/or CENELEC] shall not be held responsible for identifying any or all such patent rights.

This document supersedes (A) EN 16704-1:2016 (A).

This document includes Amendment 1 approved by CEN on 9 August 2021.

The start and finish of text introduced or altered by amendment is indicated in the text by tags (A).

This document has been prepared under a Standardization Request given to CEN by the European Commission and the European Free Trade Association, and supports essential requirements of EU Directive(s) / Regulation(s).

For relationship with EU Directive(s) / Regulation(s), see informative Annex ZA, which is an integral part of this document. (A)

The purpose of this standard is to define a common approach to the safety of workers regarding the railway risks in relation to work on or in proximity of the track in the European Community.

National safety rules (for example national standards or company rules) should gradually be harmonized in line with this standard or be replaced by rules contained in this standard but according to directive 89/391/EEC it is not allowed to reduce the existing level of safety measures given by national safety rules. The current situation, in which, national safety rules continue to play a role, should be regarded as a transitional stage, leading ultimately to a situation in which, European rules described here after, will apply.

This European Standard is one of the series EN 16704 "*Railway applications – Track – Safety protection on the track during work*" as listed below:

- Part 1: Railway risks and common principles for protection of fixed and mobile work sites
- Part 2-1: Common solutions and technology Technical requirements for Track Warning Systems (TWS)
- Part 2-2: Common solutions and technology Technical requirements for barriers
- Part 3: Competences of personnel related to work on or near the railway track

Any feedback and questions on this document should be directed to the users' national standards body. A complete listing of these bodies can be found on the CEN website.

According to the CEN-CENELEC Internal Regulations, the national standards organisations of the following countries are bound to implement this European Standard: Austria, Belgium, Bulgaria, Croatia,

Cyprus, Czech Republic, Denmark, Estonia, Finland, France, Germany, Greece, Hungary, Iceland, Ireland, Italy, Latvia, Lithuania, Luxembourg, Malta, Netherlands, Norway, Poland, Portugal, Republic of North Macedonia, Romania, Serbia, Slovakia, Slovenia, Spain, Sweden, Switzerland, Turkey and the United Kingdom.

1 Scope

This European Standard provides requirements and measures to deal with the significant and specific railway risks during works on or in proximity of the track and with common principles for the protection of fixed and mobile work sites with trains and/or machines circulating on the working track and trains circulating on the adjacent track(s). Railway risks and protection measures for access and egress to/from the work site are considered in the same way as railway risks and protection measures for work itself.

This European Standard is applicable to all operations related to work activities on rail guided systems. Infrastructure of metro, tram and other light rail systems is excluded from the scope ¹).

The following specific railway risks are taken into consideration:

 Risk 1: Personnel being struck by a train or injured due to wind drag from a train on open working track (safety of the worker);

NOTE 1 Risk 1 includes injuring of a worker by machines, material or equipment being struck by a train on the working track.

- Risk 2: Personnel being struck by a train or injured due to wind drag from train on adjacent track (safety of the worker);
- Risk 3: Personnel being struck by machine or train on blocked track (safety of the worker);
- Risk 4: Machines, material or equipment being struck by a train on the adjacent track (safety of the operation/safety of the worker);
- Risk 5: Personnel being electrified or electrocuted by fixed electrical equipment (safety of the worker).

NOTE 2 Risk 5 includes hazards caused by pantographs of passing trains.

This European Standard also provides requirements to the process of installing basic preventive measures when planning new infrastructure or installing corrective measures when adapting existing infrastructure.

This European Standard may be extended to third parties when it is considered appropriate and reasonable by the infrastructure manager, if one or more of the five significant risks described inside this standard, arise as a result of their activities in proximity of the track.

2 Normative references

The following documents, in whole or in part, are normatively referenced in this document and are indispensable for its application. For dated references, only the edition cited applies. For undated references, the latest edition of the referenced document (including any amendments) applies.

EN 14033-2:2017, Railway applications - Track - Railbound construction and maintenance machines - Part 2: Technical requirements for travelling and working

EN 14033-3:2017, Railway applications - Track - Railbound construction and maintenance machines - Part 3: General safety requirements

EN 15746-2:2020, Railway applications - Track - Road-rail machines and associated equipment - Part 2: General safety requirements

¹⁾ See Directive 2008/57/EC.