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Supersedes
DIN EN 1998-3:2006-04

**Eurocode 8: Design of structures for earthquake resistance –
Part 3: Assessment and retrofitting of buildings
(includes Corrigendum AC:2010)
English translation of DIN EN 1998-3:2010-12**

Eurocode 8: Auslegung von Bauwerken gegen Erdbeben –
Teil 3: Beurteilung und Ertüchtigung von Gebäuden
(enthält Berichtigung AC:2010)
Englische Übersetzung von DIN EN 1998-3:2010-12

Eurocode 8: Calcul des structures pour leur résistance aux séismes –
Partie 3: Evaluation et renforcement des bâtiments
(Corrigendum AC:2010 inclus)
Traduction anglaise de DIN EN 1998-3:2010-12

Document comprises 91 pages

Translation by DIN-Sprachendienst.

In case of doubt, the German-language original shall be considered authoritative.



A comma is used as the decimal marker.



National foreword

This standard has been prepared by Technical Committee CEN/TC 250 “Structural Eurocodes” (Secretariat: BSI, United Kingdom).

The responsible German body involved in its preparation was the *Normenausschuss Bauwesen* (Building and Civil Engineering Standards Committee), Working Committee NA 005-51-06 AA *Erdbeben; Sonderfragen* (Sp CEN/TC 250/SC 8).

This European Standard is part of a series of standards dealing with structural design (Eurocodes) which are intended to be used as a “package”. In the Directive on the application and use of Eurocodes, issued by the EU Commission, reference is made to transitional periods for the introduction of the Eurocodes in the Member states. It will be the responsibility of CEN and the EU Commission to agree the details of the introduction on a case-by-case basis.

Attention is drawn to the possibility that some of the elements of this document may be the subject of patent rights. DIN [and/or DKE] shall not be held responsible for identifying any or all such patent rights.

The start and finish of text introduced or altered by amendment is indicated in the text by tags  .

Amendments

This standard differs from DIN V ENV 1998-1-4:1999-09 as follows:

- a) the comments received from the national member bodies of CEN have been taken into account and the standard has been completely revised;
- b) the prestandard status has been changed to that of a full standard and the number of the standard has been changed to DIN EN 1998-3;
- c) the standard has been revised and brought in line with the state of the art.

Compared with DIN EN 1998-3:2006-04, the following corrections have been made:

- a) this standard is the consolidated version of the previous 2005 edition with Corrigendum EN 1998-3/AC:2010;
- b) the standard has been editorially revised.

Previous editions

DIN V ENV 1998-1-4: 1999-09
DIN EN 1998-3: 2006-04

English version

Eurocode 8: Design of structures for earthquake resistance — Part 3: Assessment and retrofitting of buildings

Eurocode 8: Calcul des structures pour leur résistance
aux séismes — Partie 3: Evaluation et renforcement
des bâtiments

Eurocode 8: Auslegung von Bauwerken gegen Erdbeben —
Teil 3: Beurteilung und Ertüchtigung von Gebäuden

EN 1998-3:2005 was approved by CEN on 2005-03-15 and Amendment AC:2009 on 2010-03-10.

CEN members are bound to comply with the CEN/CENELEC Internal Regulations which stipulate the conditions for giving this European Standard the status of a national standard without any alteration. Up-to-date lists and bibliographical references concerning such national standards may be obtained on application to the Management Centre or to any CEN member.

The European Standards exist in three official versions (English, French, German). A version in any other language made by translation under the responsibility of a CEN member into its own language and notified to the Management Centre has the same status as the official versions.

CEN members are the national standards bodies of Austria, Belgium, Bulgaria, Croatia, Cyprus, Czech Republic, Denmark, Estonia, Finland, France, Germany, Greece, Hungary, Iceland, Ireland, Italy, Latvia, Lithuania, Luxembourg, Malta, Netherlands, Norway, Poland, Portugal, Romania, Slovakia, Slovenia, Spain, Sweden, Switzerland and United Kingdom.



EUROPEAN COMMITTEE FOR STANDARDIZATION
COMITÉ EUROPÉEN DE NORMALISATION
EUROPÄISCHES KOMITEE FÜR NORMUNG

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Foreword

This document (EN 1998-3:2004 + AC:2009) has been prepared by Technical Committee CEN/TC 250 “Structural Eurocodes”, the secretariat of which is held by BSI. CEN/TC 250 is responsible for all Structural Eurocodes.

This European Standard shall be given the status of a national standard, either by publication of an identical text or by endorsement, at the latest by December 2005, and conflicting national standards shall be withdrawn at the latest by March 2010.

This document supersedes ENV 1998-1-4:1996.

According to the CEN-CENELEC Internal Regulations, the National Standard Organisations of the following countries are bound to implement this European Standard: Austria, Belgium, Bulgaria, Croatia, Cyprus, Czech Republic, Denmark, Estonia, Finland, France, Germany, Greece, Hungary, Iceland, Ireland, Italy, Latvia, Lithuania, Luxembourg, Malta, Netherlands, Norway, Poland, Portugal, Romania, Slovakia, Slovenia, Spain, Sweden, Switzerland and United Kingdom.

Background of the Eurocode programme

In 1975, the Commission of the European Community decided on an action programme in the field of construction, based on article 95 of the Treaty. The objective of the programme was the elimination of technical obstacles to trade and the harmonisation of technical specifications.

Within this action programme, the Commission took the initiative to establish a set of harmonised technical rules for the design of construction works which, in a first stage, would serve as an alternative to the national rules in force in the Member States and, ultimately, would replace them.

For fifteen years, the Commission, with the help of a Steering Committee with Representatives of Member States, conducted the development of the Eurocodes programme, which led to the first generation of European codes in the 1980's.

In 1989, the Commission and the Member States of the EU and EFTA decided, on the basis of an agreement¹ between the Commission and CEN, to transfer the preparation and the publication of the Eurocodes to CEN through a series of Mandates, in order to provide them with a future status of European Standard (EN). This links *de facto* the Eurocodes with the provisions of all the Council's Directives and/or Commission's Decisions dealing with European standards (e.g. the Council Directive 89/106/EEC on construction products - CPD - and Council Directives 93/37/EEC, 92/50/EEC and 89/440/EEC on public works and services and equivalent EFTA Directives initiated in pursuit of setting up the internal market).

The Structural Eurocode programme comprises the following standards generally consisting of a number of Parts:

¹ Agreement between the Commission of the European Communities and the European Committee for Standardisation (CEN) concerning the work on EUROCODES for the design of building and civil engineering works (BC/CEN/03/89).

- EN 1990 Eurocode: Basis of structural design
- EN 1991 Eurocode 1: Actions on structures
- EN 1992 Eurocode 2: Design of concrete structures
- EN 1993 Eurocode 3: Design of steel structures
- EN 1994 Eurocode 4: Design of composite steel and concrete structures
- EN 1995 Eurocode 5: Design of timber structures
- EN 1996 Eurocode 6: Design of masonry structures
- EN 1997 Eurocode 7: Geotechnical design
- EN 1998 Eurocode 8: Design of structures for earthquake resistance
- EN 1999 Eurocode 9: Design of aluminium structures

Eurocode standards recognise the responsibility of regulatory authorities in each Member State and have safeguarded their right to determine values related to regulatory safety matters at national level where these continue to vary from State to State.

Status and field of application of Eurocodes

The Member States of the EU and EFTA recognise that Eurocodes serve as reference documents for the following purposes:

- as a means to prove compliance of building and civil engineering works with the essential requirements of Council Directive 89/106/EEC, particularly Essential Requirement N°1 - Mechanical resistance and stability - and Essential Requirement N°2 - Safety in case of fire;
- as a basis for specifying contracts for construction works and related engineering services;
- as a framework for drawing up harmonised technical specifications for construction products (ENs and ETAs)

The Eurocodes, as far as they concern the construction works themselves, have a direct relationship with the Interpretative Documents² referred to in Article 12 of the CPD, although they are of a different nature from harmonised product standards³. Therefore, technical aspects arising from the Eurocodes work need to be adequately considered by

² According to Art. 3.3 of the CPD, the essential requirements (ERs) shall be given concrete form in interpretative documents for the creation of the necessary links between the essential requirements and the mandates for hENs and ETAGs/ETAs.

³ According to Art. 12 of the CPD the interpretative documents shall:

- a) give concrete form to the essential requirements by harmonising the terminology and the technical bases and indicating classes or levels for each requirement where necessary ;
- b) indicate methods of correlating these classes or levels of requirement with the technical specifications, e.g. methods of calculation and of proof, technical rules for project design, etc. ;
- c) serve as a reference for the establishment of harmonised standards and guidelines for European technical approvals.

The Eurocodes, *de facto*, play a similar role in the field of the ER 1 and a part of ER 2.

CEN Technical Committees and/or EOTA Working Groups working on product standards with a view to achieving a full compatibility of these technical specifications with the Eurocodes.

The Eurocode standards provide common structural design rules for everyday use for the design of whole structures and component products of both a traditional and an innovative nature. Unusual forms of construction or design conditions are not specifically covered and additional expert consideration will be required by the designer in such cases.

National Standards implementing Eurocodes

The National Standards implementing Eurocodes will comprise the full text of the Eurocode (including any annexes), as published by CEN, which may be preceded by a National title page and National foreword, and may be followed by a National annex (informative).

The National annex may only contain information on those parameters which are left open in the Eurocode for national choice, known as Nationally Determined Parameters, to be used for the design of buildings and civil engineering works to be constructed in the country concerned, i.e.:

- values and/or classes where alternatives are given in the Eurocode,
- values to be used where a symbol only is given in the Eurocode,
- country specific data (geographical, climatic, etc.), *e.g.* snow map,
- the procedure to be used where alternative procedures are given in the Eurocode.

It may also contain

- decisions on the application of informative annexes,
- references to non-contradictory complementary information to assist the user to apply the Eurocode.

Links between Eurocodes and harmonised technical specifications (ENs and ETAs) for products

There is a need for consistency between the harmonised technical specifications for construction products and the technical rules for works⁴. Furthermore, all the information accompanying the CE Marking of the construction products which refer to Eurocodes shall clearly mention which Nationally Determined Parameters have been taken into account.

Additional information specific to EN 1998-3

Although assessment and retrofitting of existing structures for non-seismic actions is not yet covered by the relevant material-dependent Eurocodes, this Part of Eurocode 8 was specifically developed because:

⁴ See Art.3.3 and Art.12 of the CPD, as well as clauses 4.2, 4.3.1, 4.3.2 and 5.2 of ID 1.